

FILE

Form PTO/SB/08A		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Application Number</b>	09/596,507
		<b>Filing Date</b>	June 19, 2000
		<b>First Named Inventor</b>	Horst Peschel
		<b>Group Art Unit</b>	1647
		<b>Examiner Name</b>	Robert Hayes, Ph.D.
<b>Sheet</b>	1 of 1	<b>Attorney Docket Number</b>	4070-174US (K400417US)

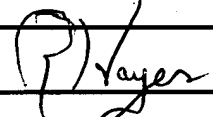
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U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY

FOREIGN PATENT DOCUMENTS						
Exr Initials	Country Code	Foreign Patent Document Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	T <sub>1</sub>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.	T <sub>1</sub>
Reid	ANGELO L. VESCOVI, <i>et al.</i> , "Isolation and Cloning of Multipotential Stem Cells from the Embryonic Human CNS and Establishment of Transplantable Human Neural Stem Cell Lines by Epigenetic Stimulation," <i>Experimental Neurology</i> 156:71-83 (1999).	

<b>Examiner Signature</b>		<b>Date Considered</b>	4/4/02
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FILE

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(REV. 8-83) PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.:  
4070-174US (K400417US)

APPLICATION NO.:  
09/596,507

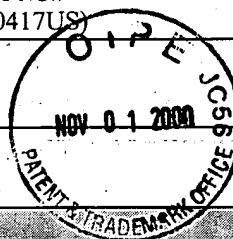
# INFORMATION DISCLOSURE CITATION

(Form PTO-1449 Modified 5/95)  
(Use several sheets if necessary)

APPLICANT:  
Horst PESCHEL

FILING DATE:  
June 19, 2000

GROUP ART UNIT:  
1645 1647



## U.S. PATENT DOCUMENTS

EX. INIT	DOCU- MENT	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EX. INIT	DOCU- MENT	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

RCW	Kosinski <i>et al.</i> "Dopaminergic Neurons in Substantia Nigra Pars Compacta Express a Unique Splice Form of Metabotropic Glutamate Receptor 1", <i>Neurology</i> 48, pp. A324, (1997).
↓	Potter <i>et al.</i> "Cytokine-induced conversion of mesencephalic-derived progenitor cells into dopamine neurons", <i>Cell Tissue Res</i> , 296 pp. 235-246, (1999).
↓	Ling <i>et al.</i> "Differentiation of Mesencephalic Progenitor Cells into Dopaminergic Neurons by Cytokines", <i>Experimental Neurology</i> 149, Article No. EN986715, pp. 411-423, (1998).

EXAMINER

*P. Hager*

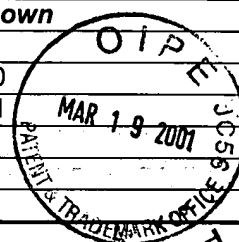
DATE CONSIDERED

4/4/02

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FILE

Substitute for form 1449A/PTO		Compl te if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)		Application Number	09/596,507
		Filing Date	June 19, 2000
		First Named Inventor	Horst Peschel
		Gr up Art Unit	1645 1647
		Examiner Name	HAYES
Sheet 1 of 1	Attorney Docket Number		4070-174US



U.S. PATENT DOCUMENTS			
Exr Initials	U.S. Patent Document Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document  Date of Publication of Cited Document MM-YYYY
RN	5,082,670		Gage et al.
	5,175,103		Lee et al.
	5,411,883		Boss et al.

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Exr Initials	Country Code	Foreign Patent Document Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
RN	WO	95 03821	A1	O'Brien et al.	02/1995
	WO	96 15226	A1	Weiss et al.	05/1996
	WO	94 10292	A1	Neurospheres Holdings, Ltd.	05/1994
	WO	95 13364	A1	Weiss et al. Constance	05/1995
	WO	99 01159	A1	Univ. of Utah Res. Found.	01/1999
	WO	99 21966	A1	Neurospheres Holdings, Ltd.	05/1995
	WO	97 02049	A1	Emory University	01/1997
	WO	93 01275	A1	Weiss et al.	01/1993

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in parentheses). If a book, also include publisher and city and/or county where published.	T <sub>1</sub>
RN	Mayer-Proschel et al., Neuron., Vol. 19, October 1997, 773-785	
	Kesinski et al., Neurology, March 1997, (Abstract only)	
RCH	Carvey et al., Neurology (March 1997) (Abstract only) A324-A323.	
	Potter et al., Cell Tissue Res. (1999), 296:235-246	
	Ling et al., Experimental Neurology 149, 1998, pgs. 411-423	

Examiner Signature	<i>P. Hayes</i>	Date Considered	4/4/02
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